

ON ALGEBRAS WITH MANY SYMMETRIC OPERATIONS

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ABSTRACT. An n -ary operation f is called *symmetric* if, for all permutations π of $\{1, \dots, n\}$, it satisfies the identity $f(x_1, x_2, \dots, x_n) = f(x_{\pi(1)}, x_{\pi(2)}, \dots, x_{\pi(n)})$. We show that, for each finite algebra \mathcal{A} , either it has symmetric term operations of all arities or else some finite algebra in the variety generated by \mathcal{A} has two automorphisms without a common fixed point. We also show this two-automorphism condition cannot be replaced by a single fixed-point-free automorphism.

1. INTRODUCTION

The study of algebras with particular types of term operations has always been a subject of interest in the field of Universal Algebra [10, 15], and it has boomed since its connections with the complexity of Constraint Satisfaction Problems (CSPs) was discovered (see, e.g. [7, 9]). Many complexity classification results for the CSP are based on algebraic dichotomies (of independent interest) of the form: either an algebra \mathcal{A} has term operations satisfying certain “nice” identities or else some finite algebra in the variety generated by \mathcal{A} has some “bad” compatible relational structure, often of a simple form (see [2, 4, 7, 9, 18]). Such structures are the forbidden structures for these “nice” term operations. We give several examples of such algebraic dichotomies in Section 3.

In this paper, we identify the forbidden structures for having *symmetric* operations (of all arities) as term operations. A symmetric operation is an operation that is invariant under any permutation of arguments. Such operations have recently been used in the algebraic approach to the CSP [16], they characterise the CSPs solvable by a natural algorithm based on linear programming. One intended use of our forbidden structures is in proofs of computational hardness results, such as non-existence of certain robust algorithms [12, 16], for CSPs that cannot be solved by linear programming.

2. DEFINITIONS

The definitions given in this section are standard. A *vocabulary* τ is a finite set of relation symbols R_1, \dots, R_k or arities $r_1, \dots, r_k \geq 1$. A τ -structure \mathbf{B} consists of a finite set B , called the *universe* of \mathbf{B} , and a relation $R^{\mathbf{B}} \subseteq B^r$ for every relation symbol $R \in \tau$ where r is the arity of R .

A *homomorphism* from a τ -structure \mathbf{A} to a τ -structure \mathbf{B} is a mapping $h : A \rightarrow B$ such that for every r -ary $R \in \tau$ and every $(a_1, \dots, a_r) \in R^{\mathbf{A}}$, we have $(h(a_1), \dots, h(a_r)) \in R^{\mathbf{B}}$. We write $\mathbf{A} \rightarrow \mathbf{B}$ if there is a homomorphism from \mathbf{A} to \mathbf{B} .

The *constraint satisfaction (or homomorphism) problem* for a structure \mathbf{B} is testing whether a given structure \mathbf{A} admits a homomorphism to \mathbf{B} . This problem is denoted by $\text{CSP}(\mathbf{B})$, and can be identified with the class of all structures \mathbf{A} such that $\mathbf{A} \rightarrow \mathbf{B}$.

Let f be an n -ary operation on B , and R a relation of \mathbf{B} . We say that f is a *polymorphism* of R if, for any tuples, $\bar{a}_1, \dots, \bar{a}_n \in R$, the tuple obtained by applying f componentwise to $\bar{a}_1, \dots, \bar{a}_n$ also belongs to R . In this case, R is said to be *invariant* under f , or *compatible* with f . Furthermore, f is a *polymorphism of \mathbf{B}* if it is a polymorphism of each relation in \mathbf{B} . It is easy to check that the n -ary polymorphisms of \mathbf{B} are precisely the homomorphisms from the n -th direct power \mathbf{B}^n to \mathbf{B} . We denote by $\text{Pol}(\mathbf{B})$ the set of all polymorphisms of \mathbf{B} .

A *finite algebra* is a pair $\mathcal{A} = (A, F)$ where A is a finite set and F is a family of operations of finite arity on A . The *term operations* of \mathcal{A} are the operations obtained from F and the projections by superposition. A *variety* is a class of (indexed) algebras closed under taking homomorphic images, subalgebras, and direct products. The *variety generated by \mathcal{A}* , $\text{var}(\mathcal{A})$, consists of all

homomorphic images of subalgebras of direct powers of \mathcal{A} . As usual, $HS(\mathcal{A})$ denotes the class of all homomorphic images of subalgebras of \mathcal{A} . From each relational structure \mathbf{B} , one can obtain an algebra $\mathcal{A}_{\mathbf{B}} = (B, \text{Pol}(\mathbf{B}))$, by taking as operations on the universe B the polymorphism of all relations in \mathbf{B} . A structure \mathbf{B}' with universe A is said to be *compatible* with an algebra $\mathcal{A} = (A, F)$ if every operation in F is a polymorphism of \mathbf{B}' (equivalently, each relation in \mathbf{B}' is the universe of a subalgebra of the corresponding power of \mathcal{A}).

The notion of a polymorphism plays the key role in the algebraic approach to the CSP. The polymorphisms of a structure are known to determine the complexity of $\text{CSP}(\mathbf{B})$ as well as definability of (the complement of) $\text{CSP}(\mathbf{B})$ in various logics (see [6, 18]).

We now define several types of operations that will be used in this paper.

- An n -ary operation f is called *idempotent* if it satisfies the identity $f(x, \dots, x) = x$.
- An n -ary operation f is called *cyclic* if it satisfies the identity

$$f(x_1, x_2, \dots, x_n) = f(x_2, x_3, \dots, x_n, x_1);$$

- An n -ary operation f is called *symmetric* if it satisfies the identity

$$f(a_1, a_2, \dots, a_n) = f(a_{\pi(1)}, a_{\pi(2)}, \dots, a_{\pi(n)})$$

for all permutations π of $\{1, \dots, n\}$;

- An n -ary operation f is called *totally symmetric* if $f(x_1, \dots, x_n) = f(y_1, \dots, y_n)$ whenever $\{x_1, \dots, x_n\} = \{y_1, \dots, y_n\}$. If, in addition, f is idempotent then we say that it is a TSI operation.
- An n -ary ($n \geq 3$) operation is called a *weak near-unanimity (WNU)* operation if it is idempotent and it satisfies the identities

$$f(y, x, \dots, x, x) = f(x, y, \dots, x, x) = \dots = f(x, x, \dots, x, y).$$

- A *Mal'tsev* operation is a ternary operation f satisfying

$$f(x, x, y) = f(y, x, x) = y.$$

More of the universal-algebraic background can be found in [10, 15].

3. SOME ALGEBRAIC DICHOTOMIES

We will now describe some known algebraic dichotomy results and indicate where they are used in the study of CSPs. It is known [7] that it is enough to classify only problems $\text{CSP}(\mathbf{B})$ such that the corresponding algebra $\mathcal{A}_{\mathbf{B}}$ is idempotent, i.e. all of its operations are idempotent. This explains why most of the dichotomies concern only idempotent algebras.

- (i) For a finite idempotent algebra \mathcal{A} , either \mathcal{A} has a cyclic operation of some arity (equivalently, $\text{var}(\mathcal{A})$ satisfies a non-trivial Mal'tsev condition), or else the ternary relation $\{(0, 0, 1), (0, 1, 0), (1, 0, 0)\}$ is compatible with some (2-element) algebra in $HS(\mathcal{A})$ [2].

It is known that, for a structure \mathbf{B} , if the (idempotent) algebra $\mathcal{A}_{\mathbf{B}}$ satisfies the latter condition then $\text{CSP}(\mathbf{B})$ is **NP**-complete [7]. The Algebraic Dichotomy Conjecture states that if $\mathcal{A}_{\mathbf{B}}$ satisfies the former condition then $\text{CSP}(\mathbf{B})$ is tractable [2, 7].

- (ii) For a finite idempotent algebra \mathcal{A} , either \mathcal{A} has WNU operations of almost all arities (equivalently, $\text{var}(\mathcal{A})$ is congruence meet-semidistributive), or else there exists an algebra \mathcal{B} in $HS(\mathcal{A})$ and an Abelian group structure on the base set of \mathcal{B} such that the relation $\{(x, y, z) : x + y = z\}$ is compatible with \mathcal{B} [18, 19].

It is known that the former condition, for the algebra $\mathcal{A}_{\mathbf{B}}$, implies that $\text{CSP}(\mathbf{B})$ is definable in the logic programming language Datalog [4] (and also admits a robust algorithm [3]), while the latter condition, which intuitively says that $\text{CSP}(\mathbf{B})$ can encode systems of linear equations, implies the absence of these nice properties [13, 12].

- (iii) For a finite idempotent algebra \mathcal{A} , either \mathcal{A} has ternary term operations from Theorem 9.11 of [15] (equivalently, $\text{var}(\mathcal{A})$ is congruence join-semidistributive), or else there exists an algebra \mathcal{B} in $HS(\mathcal{A})$ such that at least one of the relations $\{(x, y, z) : x + y = z\}$ (as above) and $\{0, 1\}^3 \setminus \{(1, 1, 0)\}$ is compatible with \mathcal{B} [18].

The former condition, for the algebra $\mathcal{A}_{\mathbf{B}}$, is conjectured to imply that $\text{CSP}(\mathbf{B})$ is definable in linear Datalog [18] (which roughly means that $\text{CSP}(\mathbf{B})$ can be reduced to the Digraph Reachability problem) and belongs to the complexity class \mathbf{NL} , while the latter condition, which intuitively says that $\text{CSP}(\mathbf{B})$ can encode systems of linear equations or Horn 3-Sat, implies non-definability in linear Datalog and non-membership in \mathbf{NL} (modulo complexity-theoretic assumptions) [18].

- (iv) For a finite idempotent algebra \mathcal{A} , either \mathcal{A} has a Mal'tsev operation as a term operation (equivalently, $\text{var}(\mathcal{A})$ is congruence permutable), or else some binary reflexive and non-symmetric relation is compatible with a finite algebra $\mathcal{V}(\mathcal{A})$ [14].

The latter condition was used in [5] to prove hardness of the counting version of $\text{CSP}(\mathbf{B})$, and in [8] to prove hardness of a version of $\text{CSP}(\mathbf{B})$ with an additional global constraint.

4. FORBIDDEN STRUCTURES FOR MANY SYMMETRIC OPERATIONS

Since the presence of many symmetric operations plays a role in the study of CSPs, it is natural to try to find (simple enough) forbidden structures for this algebraic condition.

For a permutation π on A , let π° denote the graph of π , i.e. $\pi^\circ = \{(a, \pi(a)) \mid a \in A\}$. In the next two sections we will deal with graphs of permutations compatible with algebras. Note that π° is compatible with an algebra \mathcal{A} if and only if π is an automorphism of \mathcal{A} .

The following is a slightly weakened Proposition 2.1 of [1].

Proposition 1. *Let \mathcal{A} be a finite algebra.*

- *Either \mathcal{A} has cyclic term operations of all arities,*
- *or else there is a finite algebra \mathcal{B} in $\text{var}(\mathcal{A})$ with a fixed-point-free automorphism.*

Since any symmetric operation is cyclic, the latter condition in Proposition 1 is sufficient to forbid the existence of symmetric term operations of all arities. Could it also be necessary, at least for algebras of the form $\mathcal{A}_{\mathbf{B}}$? We will show that it is not, but a small variation of it is such a condition.

Theorem 2. *Let \mathcal{A} be a finite algebra.*

- *Either \mathcal{A} has symmetric term operations of all arities,*
- *or else there is a finite algebra \mathcal{B} in $\text{var}(\mathcal{A})$ that has two automorphisms without a common fixed point. Furthermore, one of the automorphisms can be chosen to have order two.*

Proof. It is easy to see that if f is an n -ary symmetric term operation of \mathcal{A} , and hence of every algebra in $\text{var}(\mathcal{A})$, then, for any algebra \mathcal{B} in $\text{var}(\mathcal{A})$ with universe $\{b_1, \dots, b_n\}$, the element $f(b_1, \dots, b_n)$ is a fixed point of every automorphism of \mathcal{B} .

Assume now that \mathcal{A} does not have a symmetric operation of arity n . Let \mathcal{F} be the free n -generated algebra in the variety $\text{var}(\mathcal{A})$, with free generators x_1, x_2, \dots, x_n . Let \mathcal{A}_1 and \mathcal{A}_2 be the subalgebras of $\mathcal{F} \times \mathcal{F}$ generated by the tuples

$$\mathcal{A}_1 = \langle (x_1, x_2), (x_2, x_1), (x_3, x_3), \dots, (x_n, x_n) \rangle$$

$$\mathcal{A}_2 = \langle (x_1, x_2), (x_2, x_3), (x_3, x_4), \dots, (x_{n-1}, x_n), (x_n, x_1) \rangle.$$

Since x_1, \dots, x_n are the free generators of \mathcal{F} , the universes of \mathcal{A}_1 and \mathcal{A}_2 can be thought of as graphs of permutations on the universe of \mathcal{F} (and hence correspond to automorphisms of \mathcal{F}). The automorphism corresponding to \mathcal{A}_1 has order two. If these permutations share a fixed point then there exist n -ary operations f_1 and f_2 and an element a in \mathcal{F} such that

$$\begin{aligned} f_1((x_1, x_2), (x_2, x_1), (x_3, x_3), \dots, (x_n, x_n)) &= \\ f_2((x_1, x_2), (x_2, x_3), \dots, (x_{n-1}, x_n), (x_n, x_1)) &= (a, a). \end{aligned}$$

This implies that $f_1 = f_2$ and, moreover, $f_1(x_1, x_2, x_3, \dots, x_n) = f_1(x_2, x_1, x_3, x_4, \dots, x_n) = f_1(x_2, x_3, \dots, x_n, x_1)$, and so f_1 is symmetric. Hence we have an n -ary symmetric operation in \mathcal{A} , a contradiction. ■

The classes of algebras appearing in Proposition 1 and Theorem 2 are different, as our next result shows. Hence, graphs of fixed-point-free permutations do not form a complete set of forbidden structures for the existence of symmetric term operations of all arities.

Let $\mathbf{K} = (K; R, S)$ be the structure with domain

$$K = \{0, 1, 2, \dots, 9, 10, \overline{01}, \overline{02}, \overline{03}, \overline{04}, \overline{12}, \overline{13}, \overline{14}, \overline{23}, \overline{24}, \overline{34}\},$$

and binary relations R and S that are graphs of the following permutations r and s , respectively,

$$r = (0\ 1\ 2)(5\ 6\ 7)(8\ 9\ 10)(\overline{12}\ \overline{02}\ \overline{01})(\overline{04}\ \overline{14}\ \overline{24})(\overline{13}\ \overline{23}\ \overline{03}),$$

$$s = (1\ 4)(2\ 3)(5\ 6)(7\ 8)(\overline{34}\ \overline{12})(\overline{02}\ \overline{03})(\overline{01}\ \overline{04})(\overline{24}\ \overline{13}).$$

It will be often convenient to think of \mathbf{K} as of two graphs as depicted in Figure 1, one directed, R (represented by the solid lines), and one undirected, S (represented by the dotted lines), on the same set of vertices K . Then fixed points of permutations correspond to loops in the graphs. To simplify notation, for elements of the form \overline{xy} we assume the convention that $\overline{xy} = \overline{yx}$.

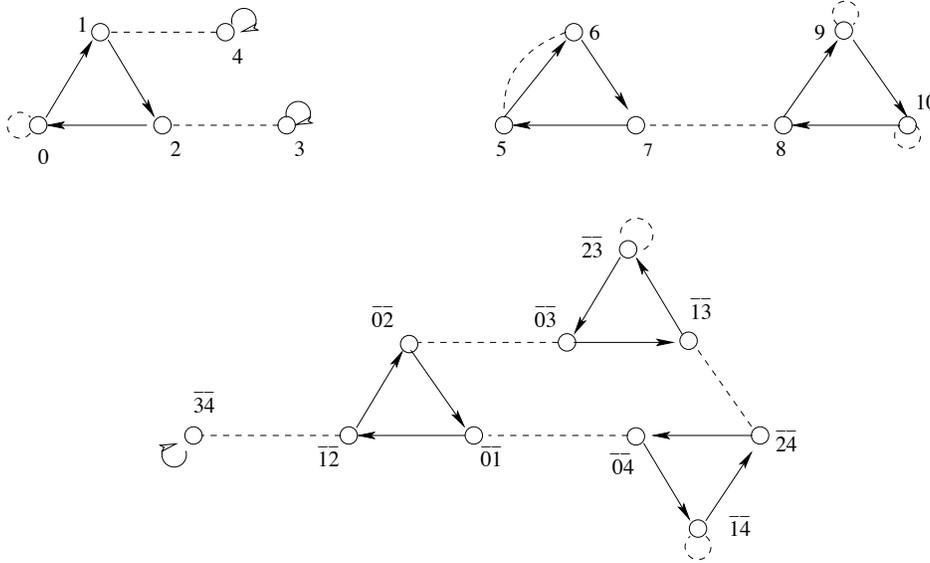


FIGURE 1. Relational structure \mathbf{K}

Theorem 3. *The structure \mathbf{K} has cyclic polymorphisms of all arities, but no symmetric polymorphism of arity 5.*

Proof. For a contradiction, suppose that f is a 5-ary symmetric operation that preserves both R and S . Since f is symmetric we know that $f(0, 1, 2, 3, 4) = f(1, 2, 0, 3, 4) = f(0, 4, 3, 2, 1)$. We have that $(0, 1), (1, 2), (2, 0), (3, 3), (4, 4) \in R$ and $(0, 0), (1, 4), (2, 3), (3, 2), (4, 1) \in S$. It follows that $f(0, 1, 2, 3, 4)$ is a common loop in R and S , which does not exist, a contradiction. The proof that \mathbf{K} has cyclic polymorphisms of all arities occupies the next section. ■

We used computer-assisted search in the process of finding the structure K , but the search was not designed to find the smallest structure with required properties, so we do not know whether K is smallest. We do know that this example of structure is tight in the sense that the existence of cyclic operations of all arities imply the existence of symmetric operations of arities up to 4.

Lemma 4. *If an algebra \mathcal{A} has cyclic term operations of arities 2 and 3 then it also has symmetric term operations of arities up to 4.*

Proof. Let s_2, c_3 be cyclic operations of arities 2 and 3 respectively. Clearly s_2 is symmetric, and it is easy to check that the operation

$$s_3(x, y, z) = s_2(c_3(x, y, z), c_3(y, x, z))$$

is a 3-ary symmetric operation.

Now, the 4-ary operation $t(x, y, z, w) = s_2(s_2(x, y), s_2(z, w))$ satisfies the following identities

$$\begin{aligned} t(x, y, z, w) &= t(y, x, z, w) = t(x, y, w, z) = t(y, x, w, z) \\ &= t(z, w, x, y) = t(z, w, y, x) = t(w, z, x, y) = t(w, z, y, x). \end{aligned}$$

It then follows that the operation

$$s_4(x, y, z, w) = s_3(t(x, y, z, w), t(x, w, y, z), t(x, z, y, w))$$

is symmetric. ■

Remark 5. The condition of having totally symmetric operations of all arities has also played a role in the study of the CSP. Such operations characterise the so-called CSPs of width 1, i.e. CSPs solvable by the arc-consistency algorithm [11, 13]. It was claimed in [16] that this condition is equivalent to the one of having many symmetric operations, but a flaw was discovered in the proof (as acknowledged on R. O'Donnell's webpage), and a counter-example to the claim was recently found by G. Kun [17, Example 99]: a very simple structure that has symmetric polymorphisms of all arities, but no ternary totally symmetric polymorphism.

5. PROOF OF THEOREM 3

We make use of two results that have been proved for algebras, that can naturally be applied to relational structures.

Proposition 6. [1, Proposition 2.2] *For a finite algebra \mathcal{A} the following hold:*

- (1) *If \mathcal{A} has an n -ary cyclic term then it has a k -ary cyclic term for all $k > 1$ divisor of n .*
- (2) *If \mathcal{A} has an n -ary and an m -ary cyclic term, then it also has an mn -ary cyclic term.*

Proposition 7. [2, Theorem 4.1] *Let \mathcal{A} be a finite algebra. The following are equivalent*

- *\mathcal{A} has a cyclic term;*
- *\mathcal{A} has a cyclic term of arity p , for every prime $p > |\mathcal{A}|$.*

It follows from Propositions 6 and 7 that it is enough to show that \mathbf{K} has cyclic polymorphisms of arity p for all prime $p < 21$. We will define partial cyclic operations of prime arities on K , and then show by induction that \mathbf{K} is preserved by cyclic operations of arities up to 21.

Consider the following partition of K : $C_1 = \{0, \dots, 4\}$, $C_2 = \{5, \dots, 10\}$, and $C_3 = \{\overline{01}, \dots, \overline{34}\}$; blocks C_1 and C_3 are depicted in Fig. 2 and Fig. 3, respectively. In these figures the filled lines represent the arcs of R and the dotted lines the (undirected) edges of S .

We start by defining partial cyclic operations $c_p(x_1, \dots, x_p)$ for all prime $p < 21$ and x_1, \dots, x_p all belonging to the same block. These operations do not necessarily preserve the blocks but preserve R and S . Then, using these operations, we show, by induction on n , that R and S are preserved by cyclic operations of arity n , for all $n = 2, \dots, 21$. Recall that, for elements of the form \overline{xy} , we assume the convention that $\overline{xy} = \overline{yx}$.

- (1) *Definition of $c_p(x_1, \dots, x_p)$ with x_1, \dots, x_p all distinct and belonging to the same block:*

We assume that x_1, \dots, x_p are all distinct, so when they belong to C_1 or C_2 we just need to define c_p for $p \leq 5$, and when they belong to C_3 we define c_p for $p \leq 7$.

We define the operation c_2 to act symmetrically on all blocks, i.e. once we define it on a tuple (x, y) the definition is the same on the tuple (y, x) . For distinct $x, y \in C_1$ we let $c_2(x, y) = \overline{xy}$; for distinct $x, y \in C_3$ we define

$$c_2(x, y) = \begin{cases} a & \text{if } x = \overline{ab}, y = \overline{ac} \text{ for some } a \in C_1 \\ e & \text{if } x = \overline{ab}, y = \overline{cd}, \text{ and } C_1 = \{a, b, c, d, e\}; \end{cases}$$

and for distinct $x, y \in C_2$ we define it as shown in Fig. 2: $c_2(5, 6) = c_2(7, 8) = c_2(9, 10) = 0$, $c_2(5, 7) = c_2(6, 10) = c_2(8, 9) = 2$ and so on.

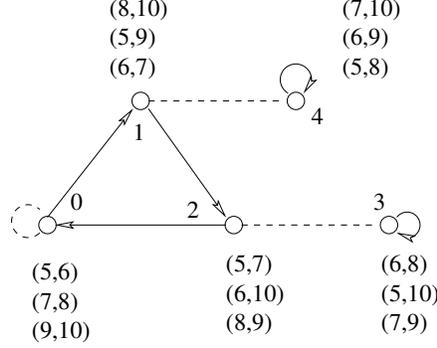


FIGURE 2. Operation c_2 maps C_2 to C_1

It is worth noting that operation c_2 and below c_3 and c_5 are defined on the block C_3 by going over all isomorphism types of graphs on $\{0, 1, 2, 3, 4\}$ that have 2, 3 and 5 edges, respectively.

To check that c_2 , as defined, preserves relations R and S we can start with any two elements of C_1 and follow their images in C_3 , moving along elements connected first by R and then by S . Indeed component C_3 appeared by defining a binary symmetric relation on C_1 . For elements of C_2 we can check that adjacency in both R and S is preserved by c_2 by checking all mapping in Figure 2. Operation c_2 sends any two elements of C_3 to an element of C_1 , we can also follow adjacency in block C_3 in Figure 1; e.g. we have for example $(\overline{01}, \overline{12}), (\overline{14}, \overline{24}) \in R$ and $c_2(\overline{01}, \overline{14}) = 1, c_2(\overline{12}, \overline{24}) = 2$ and we can see that $(1, 2) \in R$.

We define operation c_3 to also act symmetrically on all elements, i.e once we define it on a tuple (x, y, z) the operation takes the same value on any tuple obtained by arbitrarily permuting x, y, z . For distinct $x, y, z \in C_1$ we define $c_3(x, y, z) = c_2(u, v)$ where $\{u, v\} = C_1 \setminus \{x, y, z\}$; when $x, y, z \in C_3$ are all distinct, we let

$$c_3(x, y, z) = \begin{cases} \overline{de} & \text{if } x = \overline{ab}, y = \overline{bc}, z = \overline{ac}, \\ \overline{ae} & \text{if } x = \overline{ab}, y = \overline{ac}, z = \overline{ad}, \\ a & \text{if } x = \overline{ab}, y = \overline{ac}, z = \overline{de}, \\ e & \text{if } x = \overline{ab}, y = \overline{bc}, z = \overline{ad}; \end{cases}$$

and in C_2 we define c_3 as shown in Fig. 3: $c_3(5, 6, 7) = c_3(8, 9, 10) = \overline{34}$, and so on.

To check that c_3 , as defined, preserves relations R and S for any two elements of C_1 follows immediately from the fact that c_2 preserves R and S , for any two elements of C_2 we can follow the image of the elements in Fig. 3; if we consider c_3 acting on elements of C_3 the idea is that triples of elements considered in each of the 4 cases of c_3 are connected, via R and S , to triples considered in the same case type, and we can then check that the relations are preserved by following the pictures of components C_3 and C_1 , e.g. we have

$$\begin{aligned} (\overline{03}, \overline{13}), (\overline{13}, \overline{23}), (\overline{01}, \overline{12}) &\in R \text{ and } (c_3(\overline{03}, \overline{13}, \overline{01}), c_3(\overline{13}, \overline{23}, \overline{12})) = (\overline{24}, \overline{04}) \in R; \\ (\overline{01}, \overline{12}), (\overline{02}, \overline{01}), (\overline{03}, \overline{13}) &\in R \text{ and } (c_3(\overline{01}, \overline{02}, \overline{03}), c_3(\overline{12}, \overline{01}, \overline{13})) = (\overline{04}, \overline{14}) \in R \\ (\overline{01}, \overline{04}), (\overline{02}, \overline{03}), (\overline{34}, \overline{12}) &\in S \text{ and } (c_3(\overline{01}, \overline{02}, \overline{34}), c_3(\overline{04}, \overline{03}, \overline{12})) = (0, 0) \in S \\ (\overline{01}, \overline{04}), (\overline{12}, \overline{34}), (\overline{03}, \overline{02}) &\in S \text{ and } (c_3(\overline{01}, \overline{12}, \overline{03}), c_3(\overline{04}, \overline{34}, \overline{02})) = (4, 1) \in S. \end{aligned}$$

We define the operation c_5 to be cyclic in C_1 and symmetric on the remaining blocks, i.e once we define an operation on a tuple (x_1, \dots, x_5) it takes the same value on all tuples obtained from cycling permuting x_1, \dots, x_5 , and if x_1, \dots, x_5 belong to C_2 or C_3 the operation also takes the same value on the tuples obtained by arbitrarily permuting

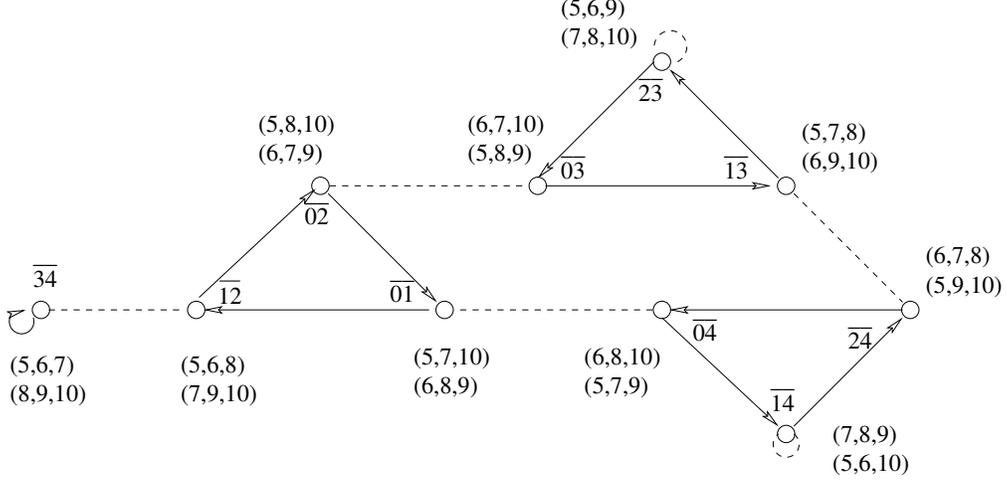


FIGURE 3. Operation c_3 maps C_2 to C_3

x_1, \dots, x_5 . When $x, y, z, u, v \in C_2$ are all distinct, we define $c_5(x, y, z, u, v) = w$ where $C_2 = \{x, y, z, u, v, w\}$; and for distinct $x, y, z, u, v \in C_3$ we define

$$c_5(x, y, z, u, v) = \begin{cases} a & \text{if } x = \overline{ab}, y = \overline{ac}, z = \overline{ad}, u = \overline{ae}, v = \overline{ce} \\ a & \text{if } x = \overline{ab}, y = \overline{cd}, z = \overline{eb}, u = \overline{bd}, v = \overline{ad} \\ e & \text{if } x = \overline{ab}, y = \overline{cd}, z = \overline{cb}, u = \overline{bd}, v = \overline{ad} \\ e & \text{if } x = \overline{ab}, y = \overline{cd}, z = \overline{cb}, u = \overline{bd}, v = \overline{ae} \\ c_5(a, b, c, d, e) & \text{if } x = \overline{ab}, y = \overline{bc}, z = \overline{cd}, u = \overline{de}, v = \overline{ae} \end{cases}$$

where $C_1 = \{a, b, c, d, e\}$; in C_1 we define

$$\begin{aligned} c_5(0, 1, 2, 4, 3) &= 5, & c_5(0, 4, 3, 1, 2) &= 6, & c_5(0, 1, 4, 3, 2) &= 7, \\ c_5(0, 4, 1, 2, 3) &= 8, & c_5(0, 2, 4, 1, 3) &= 9, & c_5(0, 1, 3, 2, 4) &= 10, \end{aligned}$$

and to extend c_5 to the rest of C_1 , we think of the tuple (x, y, z, u, v) as the permutation $(xyzuv)$. It is then easy to see that $(xyzuv)$ is an i^{th} power, with $i = 0, 1, \dots, 4$, of exactly one of six permutations corresponding to the tuples for which $c_5(x, y, z, u, v)$ was defined above. We then define $c_5(x, y, z, u, v)$ to be the same as c_5 applied to the corresponding tuple, e.g. $(02314) = (01243)^2$ and so $c_5(0, 2, 3, 1, 4) = 5$.

Finally, for distinct $x_1, \dots, x_7 \in C_3$ we define $c_7(x_1, \dots, x_7) = c_3(a, b, c)$, where $\{a, b, c\} = C_3 \setminus \{x_1, \dots, x_7\}$.

We now extend these operations to elements x_1, \dots, x_p belonging to the same component but not necessarily distinct.

- (2) *Definition of $c_p(x_1, \dots, x_p)$ with x_1, \dots, x_p not all distinct and belonging to the same block, and p any prime number:*

For convenience, we define $c_1(x) = x$ for all $x \in V$.

Claim 1. *Let p be any prime number, and x_1, \dots, x_p be elements from the same block of K . If $|\{x_1, \dots, x_p\}| \geq 5$ then there exists $k = 1, \dots, p$ such that at most 4 elements of $\{x_1, \dots, x_p\}$ appear exactly k times in x_1, \dots, x_p .*

Proof. For all $i = 1, \dots, p$, let N_i be the (possibly empty) set of elements that appear exactly i times in x_1, \dots, x_p . Note that $|N_1| < p$, since x_1, \dots, x_p are not all distinct, and $|N_p| = 0$ because $|\{x_1, \dots, x_p\}| \geq 5$. We have $p = \sum_{i=1}^p i|N_i|$, which implies that there are at least two i 's for which N_i is non-empty, for p is prime. Let j_1 and j_2 be the smallest and largest i , respectively, for which N_i is non-empty. We show that at least one of N_{j_1}, N_{j_2} has at most 4 elements. Suppose, for a contradiction, that $|N_{j_1}| \geq 5$ and $|N_{j_2}| \geq 5$. Then the x_i 's must all come from C_3 . The set $N_{j_1} \cup N_{j_2}$ contains 10 different elements,

i.e. all elements of C_3 . It follows all the other sets N_i are empty, and $p = 5j_1 + 5j_2$, a contradiction. ■

We then define $c_p(x_1, \dots, x_p) = c_j(y_1, \dots, y_j)$, where $j \leq 4$, and either $\{x_1, \dots, x_p\} = \{y_1, \dots, y_j\}$ or, when $|\{x_1, \dots, x_p\}| \geq 5$, y_1, \dots, y_j are the (at most 4) elements repeated exactly k times mentioned in Claim 1 (and k is the smallest such value). Note that the elements y_1, \dots, y_j are all distinct and come from the same block, so $c_j(y_1, \dots, y_j)$ is already defined. Indeed, $c_j(y_1, \dots, y_j)$ is defined to be symmetric in (1) for $j \leq 3$. Also by (1) and using (the proof of) Lemma 4 we know that there exists a symmetric operation s_4 defined on elements x_1, \dots, x_4 all distinct and belonging to the same block. It follows that c_p acts on x_1, \dots, x_p as a symmetric operation.

We now show that these partial operations preserve the relations R and S .

Claim 2. *Let $x_1, \dots, x_p \in K$ be elements from the same partition block. If $(x_1, y_1), \dots, (x_p, y_p) \in R$ (respectively $\in S$) then $(c_p(x_1, \dots, x_p), c_p(y_1, \dots, y_p)) \in R$ (respectively $\in S$).*

Proof. First note that y_1, \dots, y_p also belong to the same block. If x_1, \dots, x_p are all distinct, then $c_p(x_1, \dots, x_p)$ was defined in (1), and it is not hard to check directly that these partial operations preserve R and S . Note that whenever we have a pattern in the repetition of elements in x_1, \dots, x_p , this pattern is the same in y_1, \dots, y_p . For example if $x_1 = x_2$ and x_3, \dots, x_p are all distinct then $y_1 = y_2$ and y_3, \dots, y_p are all distinct. This immediately implies that the partial operations defined in (2) preserve R and S , as a consequence of the partial operations defined in (1) also preserving them. ■

We now extend the operations define above to elements not belonging to the same block, at the same time as, by induction on n , defining cyclic operations, c'_n , of arity n for all $n < 21$, that preserve the relations in \mathbf{K} . For $n = 2$ we define an idempotent cyclic operation c'_2 as follows

$$c'_2(x, y) = \begin{cases} x & \text{if } x \in C_i, y \in C_j, i < j \\ y & \text{if } x \in C_i, y \in C_j, i > j \\ c_2(x, y) & \text{if } x, y \in C_i, \end{cases}$$

with $i, j = 1, 2, 3$ and c_2 as defined in (1) and (2). It is easy to check that c'_2 preserves both R and S .

Now, assume that R and S are preserved by cyclic operations, c'_n for all $n < k$. If k is not prime, then $k = mq$ and we know, as in [1], that c'_k can be obtained by composing c'_m and c'_q as follows

$$c'_k(x_1, \dots, x_k) = c'_m(c'_q(x_1, \dots, x_q), \dots, c'_q(x_{k-q+1}, \dots, x_k)).$$

By the inductive hypothesis, c'_q and c'_m preserve R and S , so c'_k also preserves these relations. If k is prime we define

$$c'_k(x_1, \dots, x_k) = \begin{cases} c_k(x_1, \dots, x_k) & \text{if } x_1, \dots, x_k \in C_i, \quad (i = 1, 2, 3), \\ c'_m(x_1, \dots, x_m) & \text{if } \{x_1, \dots, x_m\} = C_1 \cap \{x_1, \dots, x_k\} \neq \emptyset, \\ c'_m(x_1, \dots, x_m) & \text{if } \{x_1, \dots, x_m\} = C_2 \cap \{x_1, \dots, x_k\} \text{ and} \\ & C_1 \cap \{x_1, \dots, x_k\} = \emptyset \end{cases}$$

that is: if all elements x_1, \dots, x_k belong to the same block then we already know from (1) and (2) that there is a cyclic (partial) operation, c_k , defined on them that preserves R and S ; if not all elements belong to the same block then we choose the elements in x_1, \dots, x_k that belong to C_1 (or C_2 if no element belongs to C_1) and apply to them the corresponding operation of smaller arity, which we know exists by the inductive hypothesis. Since the blocks are disjoint and have no arcs connecting them, c'_k clearly preserves both R and S . Theorem 3 is proved.

6. CONCLUSION

We have described the forbidden structures for the existence of symmetric term operations in a finite algebra. We have also shown that the classes of finite algebras having cyclic operations of all arities and symmetric operations of all arities are not the same. In fact, the algebra $\mathcal{A}_{\mathbf{K}}$ that separates these classes can easily be shown to generate an arithmetical variety.

It is an interesting open question whether Theorem 2 can be strengthened by requiring the algebra \mathcal{B} in $\text{var}(\mathcal{A})$ (that has two automorphisms without a common fixed point) to belong to $HS(\mathcal{A})$. This strengthening could help in the study of complexity (more specifically, robust algorithms) for constraint satisfaction problems [12]. Even obtaining an upper bound on the number n such that \mathcal{B} can be found in $HS(\mathcal{A}^n)$ would be interesting, since such a bound would imply decidability of the existence of symmetric term operations in a finite algebra (and hence decidability of the problem of recognising CSPs solvable by linear programming), which is currently an open question.

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